

CYNGOR SIR POWYS COUNTY COUNCIL.

**CABINET EXECUTIVE
18th September 2018**

**REPORT AUTHOR: Executive Leader County Councillor Rosemarie Harris
Portfolio Holder for Risk Management**

SUBJECT: Corporate Risk Register Quarter 1 2018/19

REPORT FOR: Information

1. Summary

The Council is in a process of continuously improving and updating its approach to risk management to help better understand and manage the risks the Council is facing, and to increase the likelihood of achieving its objectives. Risk management is a core management discipline that supports organisational delivery. The risks that Powys County Council face are changing all the time, so the art of good risk management is to combine planning for what we know might happen with preparation for unknown situations, and to safeguard the organisation and in turn make it more resilient.

2. Risk Management Improvement

Risk management within Powys County Council has been reviewed, and has undergone internal audit by South West Audit Partnership (SWAP). An improvement action plan has been devised by the former Business Continuity and Risk Management Officer. This has been agreed by the Acting Director – Resources, and SWAP, and will now be implemented by the Corporate Policy, Assurance and Inspection Officer.

The new Risk Management Toolkit and electronic risk management software (JCAD Core) was agreed by Executive Management Team/Heads of Service on 18th April 2018. This has since been agreed by Audit Committee (27th April 2018), and was signed off by Cabinet on 19th June 2018.

It was envisaged that that the new Risk Management Toolkit and electronic risk management software would be implemented by August 2018, in time for Quarter 1 2018/19 reporting, however due to a change in personnel, and other commitments, this will now be implemented on a phased approach from Quarter 2 2018/19.

3. Corporate Risk Register

Full details of the risks, consequences, and identified mitigation is included on the attached Risk Register (Appendix 2) (changes shown in red text), summary sheet (Appendix 3), and heat map (Appendix 4).

As a result of a cleansing processes the following four risks have been removed from the Corporate Risk Register: -

a) CG2 – Data Protection Breaches.

This has now been superseded by the General Data Protection Regulations (GDPR).

b) CR7 – Transition post-election of the Pensions Committee.

Risk removed due to the Pensions and Investment Committee successfully reforming following the 2017 Local Government Elections.

c) CR18 – Ability to meet the £1.428m savings target for 2018/19. Addressing the deficit in the FRM for 2018/2019 (Adult Social Care).

This risk has been removed following correspondence with the Head of Strategic Policy and Performance, this was deemed inappropriate to be listed on the corporate risk register, and is more suitable for monitoring at a Service Level.

d) CR2 – Inability to recruit the level and scale of staff required to vacant posts across the organisation due to inability to attract and/or an unsustainable employable local demographic.

Due to its similarity with ASC18, this risk has been removed, and appropriate information merged into ASC18.

The following risk has been amended on the corporate risk register: -

a) LR24 – Brecon Cultural Hub (y Gaer).

New risks within the project have been identified including possible need to draw upon additional funding, revenue funding, project reporting, and possible delays. The overall risk however remains high.

The following risk has been added/raised to the Corporate Risk Register in the current reporting period: -

a) CS22 – Unavailability of WCCIS due to system and network issues.

This has been added due to far reaching consequences to Children's Services of WCCIS being unavailable. These include: children being placed at risk, reduction in staff capacity, and the impact on performance reporting.

The remaining 28 risks remain unchanged for this period.

A number of risks on the Corporate Risk Register (particularly for Adults and Children's Services) are similar, this is due to the current methodology which is used. Following correspondence with the Director of Social Services, moving forward, it is anticipated that a combined Social Service Risk Register will be created, to mitigate repetition.

3. Options Considered / Available

N/A

4. Preferred Choice and Reasons

N/A

5. Impact Assessment

5.1 Is an impact assessment required? Yes/No

5.2 If yes is it attached? Yes/No

6. Corporate Improvement Plan

6.1 The Corporate Risk Register outlines the key risks to the Council's 'business as usual' activities, as well as risk to delivery of objectives contained within the Corporate Improvement Plan.

7. Local Member(s)

7.1 This report impacts all Members equally and does not affect local Members individually.

8. Other Front Line Services

Does the recommendation impact on other services run by the Council or on behalf of the Council? Yes/No

If so please provide their comments

9. Communications

Have Communications seen a copy of this report? Yes/No

Have they made a comment?

Information report. No proactive communication action required at this stage.

10. Support Services (Legal, Finance, Corporate Property, HR, ICT, Business Services)

10.1 Legal – Professional Lead – notes the contents of the report and the requirement to report risk accordingly. Legal support will be provided as and when necessary.

10.2 Finance – The Corporate Risk Register outlines the key risks to the Council’s activities, as well as risk to delivery of objectives contained within the Corporate Improvement Plan. There are no direct financial implications from the report, although these may arise as new risks are identified on an on-going basis.

11. Scrutiny

Has this report been scrutinised? Yes / No?

If Yes what version or date of report has been scrutinised?

Please insert the comments.

What changes have been made since the date of Scrutiny and explain why Scrutiny recommendations have been accepted or rejected?

12. Data Protection

If the proposal involves the processing of personal data then the Data Protection Officer must be consulted and their comments set out below.

13. Statutory Officers

Section 151 Officer – the Head of Financial Services (Deputy 151 Officer) notes the comments of the Finance Business Partner.

Deputy Monitoring Officer notes the comments contained above and that the report is for Information purposes.

14. Members’ Interests

The Monitoring Officer is not aware of any specific interests that may arise in relation to this report. If Members have an interest they should declare it at the start of the meeting and complete the relevant notification form.

Recommendation:	Reason for Recommendation:
That Cabinet notes the risk register and the current risks faced by the organisation.	To ensure the adequate management of risk, and safeguard the Council

Relevant Policy (ies):	
Within Policy:	Y / N
Within Budget:	Y / N

Relevant Local Member(s):	N/A
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Person(s) To Implement Decision:	N/A
Date By When Decision To Be Implemented:	N/A

Is a review of the impact of the decision required?	Y / N
If yes, date of review	
Person responsible for the review	
Date review to be presented to Portfolio Holder/ Cabinet for information or further action	

Contact Officer: Caroline Evans Tel: 01597 826171 Email: caroline.evans@powys.gov.uk
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Background Papers used to prepare Report: